

Strange Brew - Musical Instrument Patents through the ages

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Talk outline

1. Introduction
2. What are patents?
3. How to read a patent
4. Musical Instrument Patents
 - (a) Electric guitars
 - (b) Physical modeling
5. Patent Research
6. Conclusion

Introduction to patents - Why should you care?

- Unheralded method of publication
 - Some companies don't publish (Yamaha)
 - Very popular in audio
- Patents can be money (ask CCRMA)
- Patent rights can be traded
- Can be entertaining
- Illustrate technological history

What are patents?

- Legally grant exclusive rights to the *assignee* for a limited period of time
- Utility: Protect a practical concept/idea
- Design: Protect a specific implementation

How to read a US patent (new style)

1. Read the first page:

- (a) Title, inventor, assignee
- (b) Dates filed and granted
- (c) References to past patents
- (d) Abstract
- (e) Enticing figure

2. Skim the figures

3. Read the body: Explanation (refer back to figures)

4. Legally concerned? Read the claims



US005786541A

United States Patent [19]
Komano et al.

[11] **Patent Number:** 5,786,541
[45] **Date of Patent:** Jul. 28, 1998

[54] **MUSICAL TONE SYNTHESIZING APPARATUS**

[75] **Inventors:** Takeshi Komano; Yoichiro Ogai, both of Hamamatsu, Japan

[73] **Assignee:** Yamaha Corporation, Hamamatsu, Japan

[21] **Appl. No.:** 856,628

[22] **Filed:** May 14, 1997

[30] **Foreign Application Priority Data**

May 18, 1996 [JP] Japan 8-148318

[51] **Int. Cl.** G10H 1/057

[52] **U.S. Cl.** 84/663

[58] **Field of Search** 84/627, 663, 702, 84/703, 738

[56] **References Cited**

U.S. PATENT DOCUMENTS

5,272,275 12/1993 Kunimoto
5,286,916 2/1994 Yamauchi
5,438,156 8/1995 Masuda et al.

FOREIGN PATENT DOCUMENTS

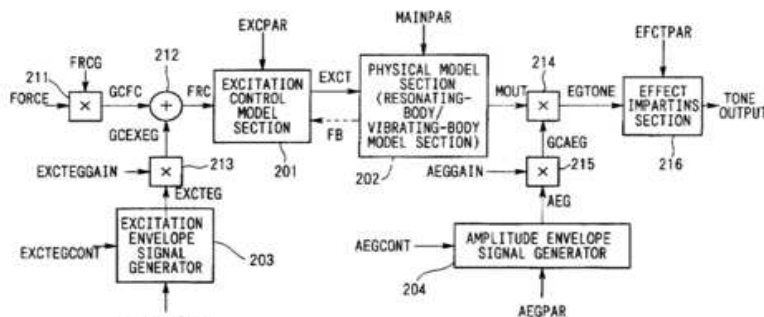
5-46179 2/1993 Japan
5-143079 6/1993 Japan
6-259087 9/1994 Japan

Primary Examiner—Stanley J. Witkowski
Attorney, Agent, or Firm—Graham & James LLP

[57] **ABSTRACT**

A musical tone synthesizing apparatus is used to synthesize musical-tone waveforms on the basis of simulation of a tone-generation mechanism of a musical instrument. Herein, a drive signal is generated based on an excitation envelope signal in accordance with a manipulation of performance made by a human operator (e.g., performer) and is then converted to an excitation signal in accordance with an operating behavior of the musical instrument. For example, the operating behavior indicates a behavior of a reed portion of a wind instrument to which breath is blown in; or it indicates a behavior of a string of a stringed instrument which is stricken or plucked. The excitation signal is input to a physical-model sound source realizing resonating-body/vibrating-body models. The physical-model sound source performs calculations, simulating the tone-generation mechanism of the musical instrument, on the excitation signal to produce a musical tone signal. An amplitude envelope signal as well as an effect are imparted to the musical tone signal, thus providing a synthesized musical tone output. The excitation envelope signal and amplitude envelope signal are controlled in level in a variety of ways in response to an instruction to generate a next note during generation of a current note. For example, formation of an attack portion is started to follow a current level of the excitation envelope signal, whilst the amplitude envelope signal is damped in level to a damp level, then, formation of an attack portion is started to follow the damp level of the amplitude envelope signal. Thanks to the controlling of the envelope signals, it is possible to realize special techniques of performance such as one-note-by-one-note crescendo without causing delay in generation of notes.

10 Claims, 9 Drawing Sheets



Utility patent: Yamaha physical modelling piano

- Inventors: Komano, Takeshi (Hamamatsu, JP), Ogai, Yoichiro (Hamamatsu, JP)
- Filing Date: 05/14/1997
- Publication Date: 07/28/1998
- Assignee: Yamaha Corporation (Hamamatsu, JP)
- Primary Class: 84/663
- US Patent References: 5272275 Dec, 1993 Kunimoto. ; 5286916 Feb, 1994 Yamauchi. ; 5438156 Aug, 1995 Masuda et al.
- Foreign References: 5-46179 Feb, 1993 JP. ; 5-143079 Jun, 1993 JP. ; 6-259087 Sep, 1994 JP.

Abstract

A musical tone synthesizing apparatus is used to synthesize musical-tone waveforms on the basis of simulation of a tone-generation mechanism of a musical instrument. Herein, a drive signal is generated based on an excitation envelope signal in accordance with a manipulation of performance made by a human operator (e.g., performer) and is then converted to an excitation signal in accordance with an operating behavior of the musical instrument. For example, the operating behavior indicates a behavior of a reed portion of a wind instrument to which breath is blown in; or it indicates a behavior of a string of a stringed instrument which is stricken or plucked. The excitation signal is input to a physical-model sound source realizing resonating-body/vibrating-body models. The physical-model sound source performs calculations, simulating the tone-generation mechanism of the musical instrument, on the excitation signal to produce a musical tone signal. An amplitude envelope signal as well as an effect are imparted to the musical tone signal, thus providing a synthesized musical tone output. The excitation envelope signal and amplitude envelope signal are controlled in level in a variety of ways in response to an instruction to generate a next note during generation of a current note...

Patent class 84/663

1 DF INSTRUMENTS {9}

600 DF .~ Electrical musical tone generation {5}

647 DF .~.~ Digital combinational circuit {7}

662 DF .~.~.~ Expression or special effects {3}

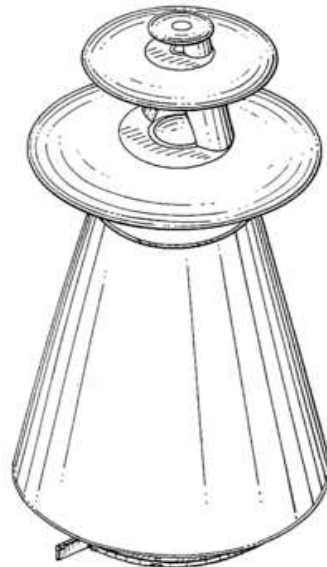
663 .~.~.~.~ Envelope shaping (i.e., attack, decay, sustain, c



US00D484490S

(12) **United States Design Patent** (10) Patent No.: **US D484,490 S**
Lewis (45) Date of Patent: **Dec. 30, 2003**

(54) **LOUDSPEAKER**
(75) Inventor: **David Lewis, Copenhagen (DK)**
(73) Assignee: **Bang & Olufsen, S.A., Stuer (DK)**
(*) Term: **14 Years**
(21) Appl. No.: **29/166,965**
(22) Filed: **Sep. 5, 2002**
(30) **Foreign Application Priority Data**
Jun. 26, 2002 (DK) 2002 00524
(51) **LOC (7) Cl.** **14-01**
(52) **U.S. Cl.** **D14/216**
(58) **Field of Search** D14/204, 207,
D14/209-216, 356; 181/143-145, 147-148,
150, 153, 157, 198-199; 381/300-302,
306, 340-342, 345, 361-364, 386-388
(56) **References Cited**
U.S. PATENT DOCUMENTS
D230,997 S * 3/1974 Shannon D14/211
D314,575 S * 2/1991 Wegner D14/210
D334,750 S * 4/1993 Negishi et al. D14/213
D376,364 S * 12/1996 Boothroyd et al. D14/216
D409,617 S * 5/1999 Freedman D14/215
D460,064 S * 7/2002 Solland D14/207
* cited by examiner
Primary Examiner—Nanda Bondade
(57) **CLAIM**
I claim the ornamental design for the loudspeaker, as shown
and described.
DESCRIPTION
FIG. 1 is a top right perspective view of the front of a
loudspeaker of the present invention;
FIG. 2 is a top left perspective view of the rear thereof;
FIG. 3 is a front view thereof;
FIG. 4 is a rear view thereof;
FIG. 5 is a left side view thereof;
FIG. 6 is a right side view thereof;
FIG. 7 is a top view thereof; and,
FIG. 8 is a bottom view thereof.
1 Claim, 7 Drawing Sheets



Design patent example

Electric Guitars

- Invented by Beauchamp & Rickenbacker in 1931 (filed in 1934, granted in 1937)

Body resonance a problem

- Les Paul creates “The Log” (*not patented*)

A 4x4 post with two halves

- Leo Fender invents the solid body - 1943 (filed in 1944, granted in 1948)

- Theodore M. McCarty [Gibson] (with assistance from Les Paul) invents the electric acoustic - 1953 (filed in 1953, granted in 1955)

Electric Guitars: cont'd

- Fender invents the Stratocaster - 1954 (patent applied for 1958, granted in 1960)
- Fender invents the electric bass - 1954 (patent applied for 1958, granted in 1960)
- Seth Lover invents the humbucker - 1955

Aug. 10, 1937.

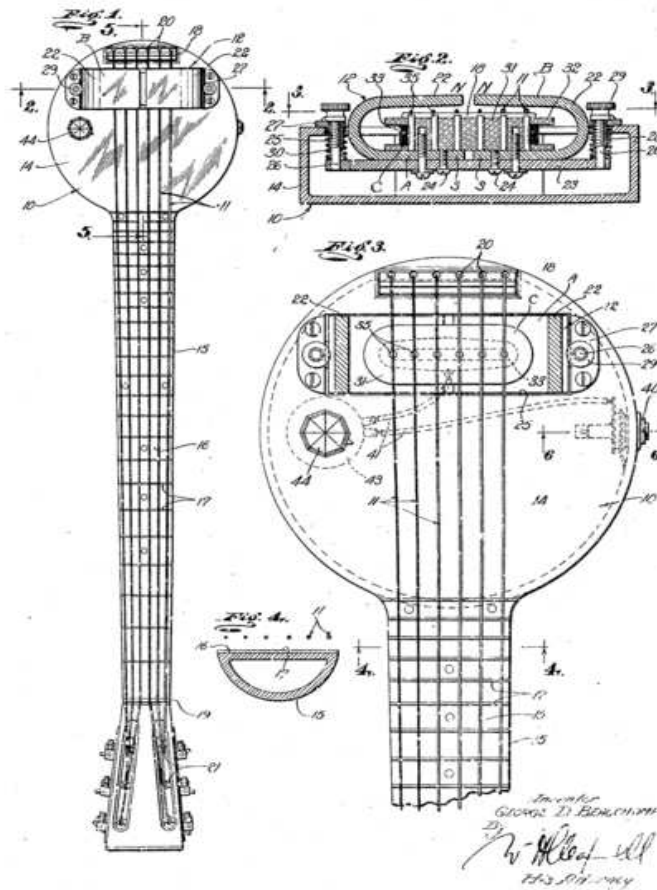
G. D. BEAUCHAMP

2,089,171

ELECTRICAL STRINGED MUSICAL INSTRUMENT

Filed June 2, 1934

3 Sheets-Sheet 1



Beauchamp and Rickenbacker's "frying pan"

“Frying pan” claim

“A pick up unit for use with the vibratory strings of a musical instrument, including a permanent magnet, a coil supported by the magnet between its poles, means mounting the magnet to have the strings, and core members within the coil each having an end facing a string”

Les Paul creates a guitar

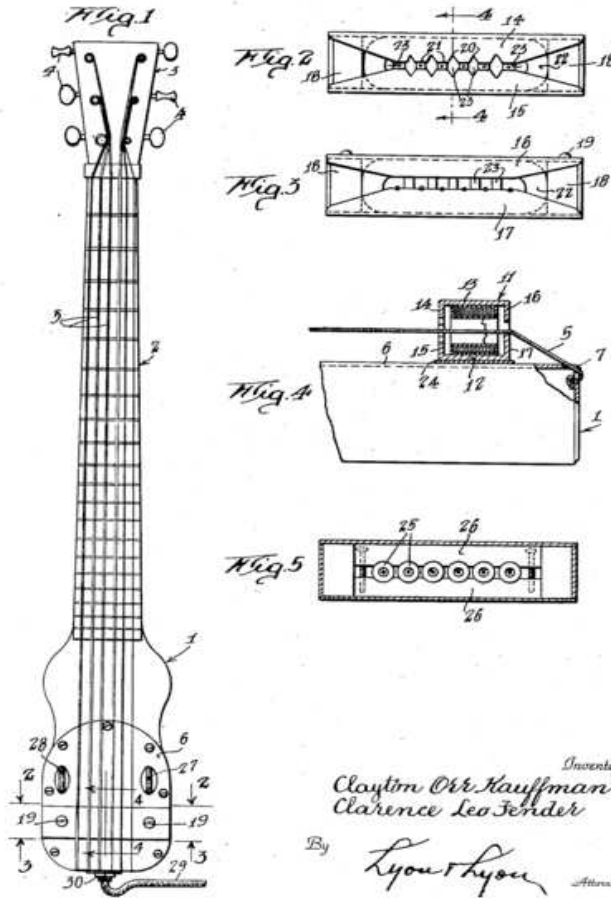


(but *doesn't* patent it!)

Dec. 7, 1948.

C. L. FENDER ET AL
PICKUP UNIT FOR INSTRUMENTS
Filed Sept. 26, 1944

2,455,575



Inventors
Clayton Orr Kauffman
Clarence Leo Fender

By *Lyon & Lyon* Attorneys

Leo Fender solid body

Fender claim:

“...to provide an electrical pickup which may be associated with any type of stringed instrument such a guitar, violin, piano and many others”

“A pick-up device for stringed musical instruments, comprising a pair of U shaped pole pieces disposed with their leg portions confronting but spaced from each other forming pole tips...”

Aug. 2, 1955

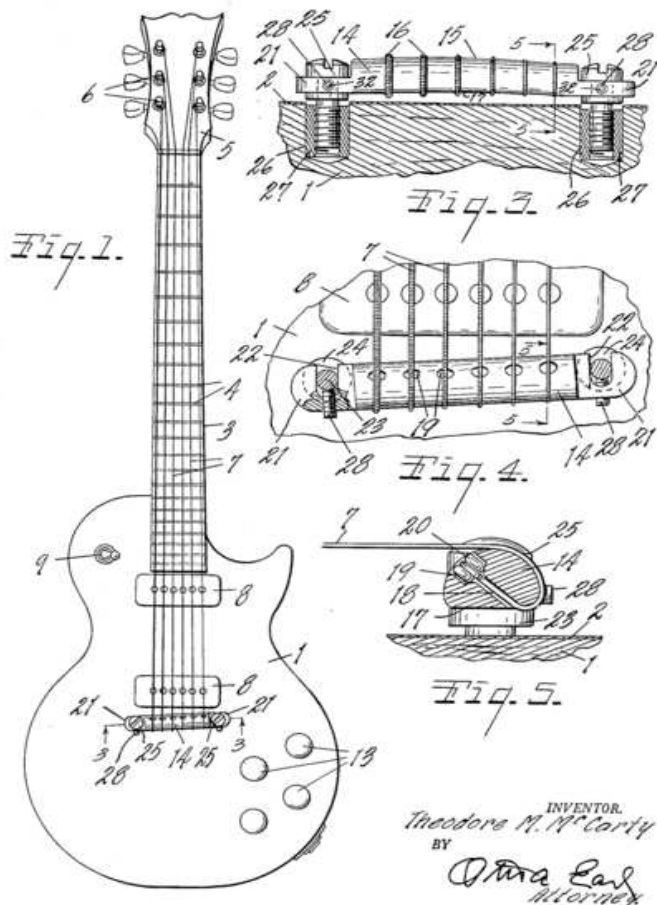
T. M. McCARTY

2,714,326

STRINGED MUSICAL INSTRUMENT OF THE GUITAR TYPE
AND COMBINED BRIDGE AND TAILPIECE THEREFOR

Filed Jan. 21, 1953

2 Sheets-Sheet 1



INVENTOR.
Theodore M. McCarty
BY
Orrin Earl
Attorney

Gibson's Les Paul Acoustic

McCarty's claim: 1/12

“A stringed musical instrument of the class described including a body and a neck, a bar-like bridge member having a longitudinally and transversely curved string supporting face and having inwardly and rearwardly inclined string bores provided with enlargements...”

April 10, 1956

C. L. FENDER

2,741,146

TREMOLO DEVICE FOR STRINGED INSTRUMENTS

Filed Aug. 30, 1954

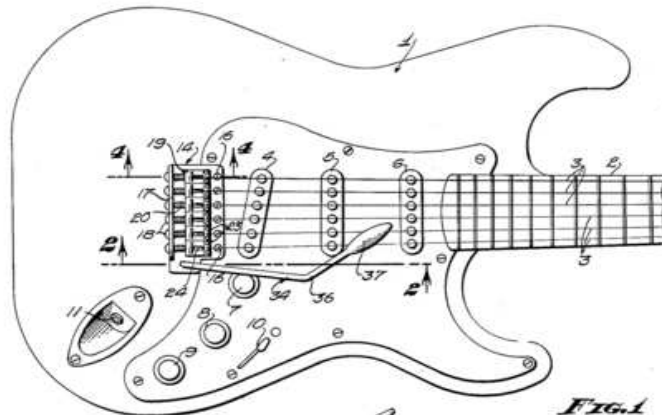


FIG. 1

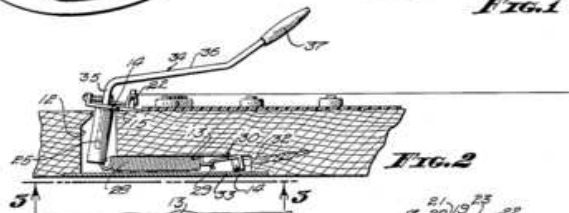


FIG. 2

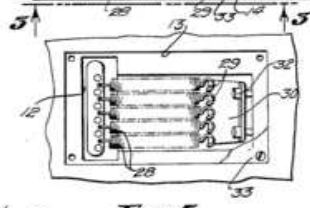


FIG. 3

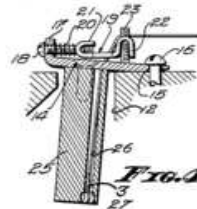


FIG. 4



FIG. 5

INVENTOR.
CLARENCE L. FENDER
BY *Lyons & Lyons*
ATTORNEYS

Fender Wang Bar

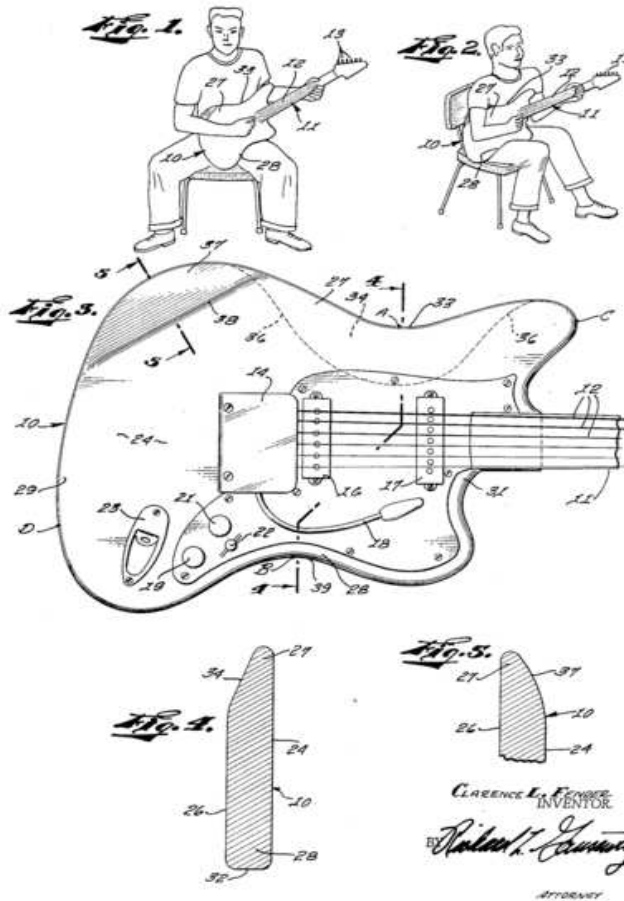
Nov. 22, 1960

C. L. FENDER

2,960,900

GUITAR

Filed Jan. 13, 1958

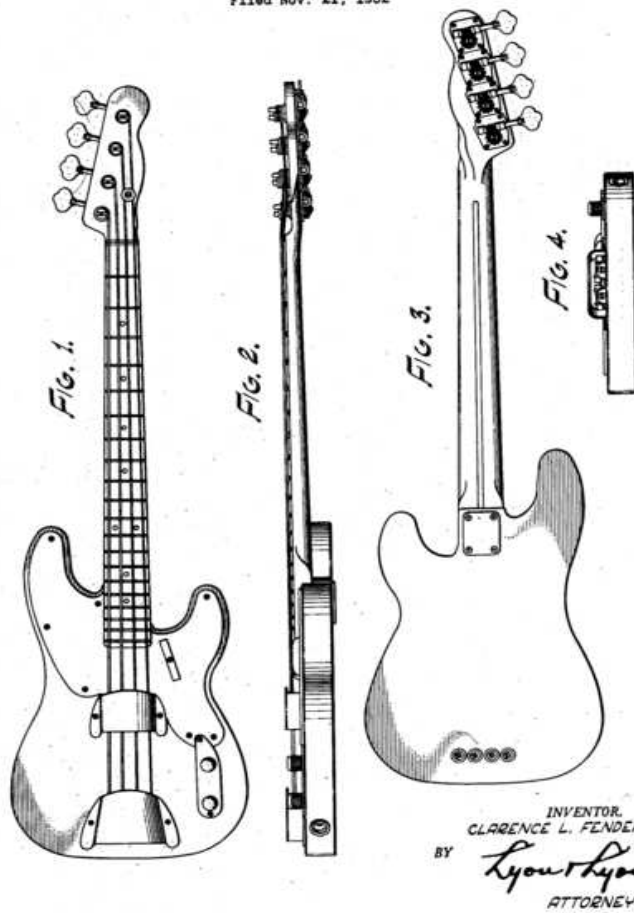


(also Design Patent D186826)
Fender Stratocaster

March 24, 1953

C. L. FENDER
GUITAR
Filed Nov. 21, 1952

Des. 169,062



Fender "Precision Bass"

July 28, 1959

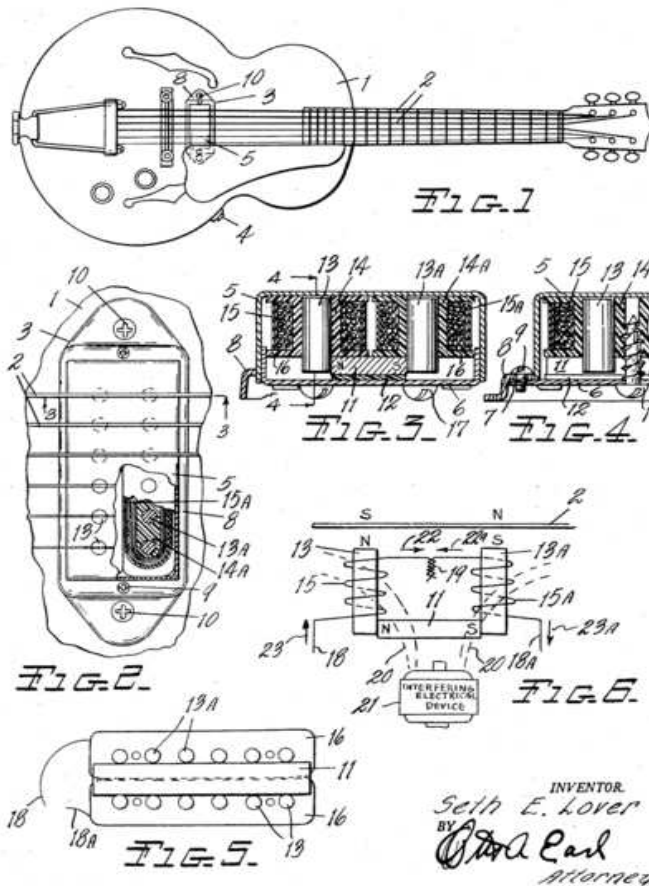
S. E. LOVER

2,896,491

MAGNETIC PICKUP FOR STRINGED MUSICAL INSTRUMENT

Filed June 22, 1955

2 Sheets-Sheet 1



Lover's humbucker

Lover's claim: "A magnetic pickup for a stringed instrument comprising an elongated permanent bar magnet magnetized from side to side, a plurality of pairs of cylindrical pole pieces of magnetizable soft iron material arranged at spaced intervals along said magnet...a first coil wound around all the pieces on one side of said magnet, a second coil wound around all the pieces on the other side of the magnet, one end of each coil being electrically connected to the end of the other..."

Summary of electric guitars:

- First: metal strings + electrical pickup
- Then: improvements to pickup
- Next: solid body
- Now: composite materials

Physical Modeling: physical model + waveguide + string(s)

- Multidimensional digital waveguide signal synthesis system and method US Pat. 5471007 - Filed May 4, 1993 - The Board of Trustees of the Leland Stanford Junior University
- Music synthesizer system and method for simulating response of resonant ... US Pat. 5466884 - Filed May 10, 1994 - The Board of Trustees of the Leland Stanford Junior University
- Digital waveguide speech synthesis system and method US Pat. 5528726 - Filed May 8, 1995 - The Board of Trustees of the Leland Stanford Junior University
- Multidimensional digital waveguide signal synthesis system and method US Pat. 5614686 - Filed Nov 27, 1995 - The Board of Trustees of the Leland Stanford Junior University
- Method for inharmonic tone generation using a coupled mode digital filter US Pat. 5748513 - Filed Aug 16, 1996 - Stanford University

Physical Modeling: physical model + waveguide + string(s)

- Method and device for setting or selecting a tonal characteristic using ... US Pat. 5739454 - Filed Oct 24, 1996 - Yamaha Corporation
- Efficient synthesis of musical tones having nonlinear excitations US Pat. 5777255 - Filed May 2, 1997 - Stanford University
- Synthesis of sounds played on plucked string instruments, using computers ... US Pat. 6011213 - Filed Sep 24, 1997 - Sony Corporation
- Method for evaluating quality of service of a digital network connection US Pat. 6801939 - Filed Oct 10, 2000 - Board of Trustees of the Leland Stanford Junior University S



US005471007A

United States Patent [19]

Van Duyne et al.

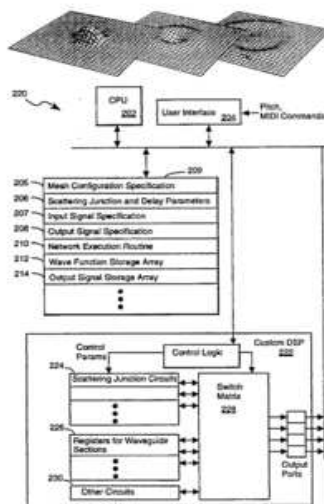
[11] **Patent Number:** 5,471,007[45] **Date of Patent:** Nov. 28, 1995[54] **MULTIDIMENSIONAL DIGITAL WAVEGUIDE SIGNAL SYNTHESIS SYSTEM AND METHOD**[75] Inventors: **Scott A. Van Duyne**, Stanford; **Julius O. Smith, III**, Palo Alto, both of Calif.[73] Assignee: **The Board of Trustees of the Leland Stanford Junior University**, Stanford, Calif.[21] Appl. No.: **57,253**[22] Filed: **May 4, 1993**[51] Int. Cl.⁶ **G10H 1/02; G10H 1/12; G10H 1/46**[52] U.S. Cl. **84/622; 84/659**[58] Field of Search **84/622, 659; 333/28 R, 333/28 T, 157, 629, 633, DIG. 9, DIG. 10**[56] **References Cited****U.S. PATENT DOCUMENTS**

4,984,276 1/1991 Smith .

Primary Examiner—William M. Shoop, Jr.*Assistant Examiner*—Jeffrey W. Donels*Attorney, Agent, or Firm*—Flehr, Hobbach, Test, Albritton & Herbert**ABSTRACT**

A signal synthesizer uses a digital waveguide network

having at least a two dimensional matrix of waveguide sections interconnected by junctions to filter one or more excitation signals so as to generate an array of synthesized output signals. The digital waveguide network has sets of waveguide sections interconnected by junctions. Each waveguide section includes two digital delay lines running parallel to each other for propagating signals in opposite directions and each junction has reflection and propagation coefficients assigned to it for controlling reflection and propagation of signals in the waveguide sections connected to that junction. Except for junctions along boundaries of the digital waveguide matrix, each junction is at least a four-way junction that interconnect at least four waveguide sections so as to scatter and intermix signals in flowing through those waveguide sections. At least one signal source, coupled to specified junctions of the digital waveguide network, provides excitation signals to the digital waveguide network. In addition, a parameter memory stores sets of control parameters, including waveguide control parameters for controlling how the digital waveguide network filters signals propagating therethrough and signal source parameters which govern the excitation signals produced by the signal source or sources. Finally, a digital signal processor or controller operates the signal sources and digital waveguide network using a selected set of the control parameters so as to synthesize an array of output signals.

10 Claims, 24 Drawing Sheets

First 2-D waveguide patent

Things to notice

- Cited by 83 patents... and ...
- Only one backward patent (4,984,276 [Smith])
- 4,984,276 is cited by 120 patents!

United States Patent [19] **Patent Number:** **4,984,276**
Smith [45] **Date of Patent:** **Jan. 8, 1991**

- [54] **DIGITAL SIGNAL PROCESSING USING WAVEGUIDE NETWORKS**
 [75] Inventor: **Julius O. Smith**, Menlo Park, Calif.
 [73] Assignee: **The Board of Trustees of the Leland Stanford Junior University**, Stanford, Calif.
 [21] Appl. No.: **414,646**
 [22] Filed: **Sep. 27, 1989**

Related U.S. Application Data

- [63] Continuation of Ser. No. 275,620, Nov. 14, 1988, abandoned, which is a continuation of Ser. No. 920,701, Oct. 17, 1986, abandoned, which is a continuation-in-part of Ser. No. 859,868, May 2, 1986, abandoned.
 [51] Int. Cl.³ **H03G 3/00**
 [52] U.S. Cl. **381/63; 84/630; 84/707**
 [58] Field of Search **84/630, 707; 364/724.11, 724.15, 724.16; 381/63**

References Cited

U.S. PATENT DOCUMENTS

4,344,148	8/1982	Brantingham et al.	364/724
4,389,540	6/1983	Nakamura et al.	364/724 X
4,548,119	10/1985	Wachi et al.	84/1.19
4,554,858	11/1985	Wachi et al.	84/1.19
4,633,500	12/1986	Yamada et al.	381/51

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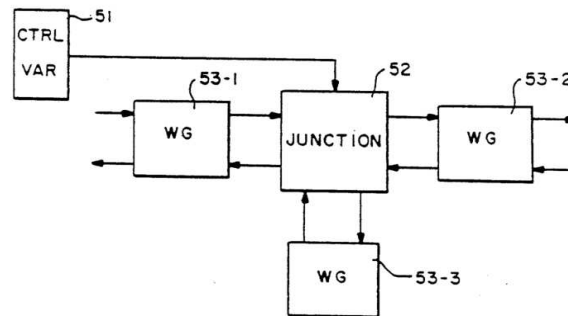
H. Kimura, "Generalized Schwarz Form and Lattice-Ladder Realizations of Digital Filters," *IEEE Transactions on Circuits and Systems*, vol. CAS-32, No. 11, Nov. 1985.
 H. Kimura et al., "Canonical Pipelining of Lattice Filters," *IEEE Transactions on Acoustics, Speech, and Signal Processing*, vol. ASSP-35, No. 6, Jun. 1987.
 Maurice Bellanger, *Digital Processing of Signals Theory and Practice*, John Wiley & Sons, copyright © 1984, Library of Congress Catalog Card No.: 83-17035, pp. 229-239 & 362-368.

Primary Examiner—Forester W. Isen
Attorney, Agent, or Firm—Spensley Horn Jubb & Lubitz

ABSTRACT

Disclosed is a signal processor formed using digital waveguide networks. The digital waveguide networks have signal scattering junctions. A junction connects two waveguide sections together or terminates a waveguide. The junctions are constructed from conventional digital components such as multipliers, adders, and delay elements. The signal processor of the present invention is typically used for digital reverberation and for synthesis of reed, string or other instruments.

16 Claims, 8 Drawing Sheets



The waveguide patent

Things to notice

- Cites 5 patents backward
- Has two corrections at the end
- 4,984,276 is cited by 120 patents!
- Restrict by “string”: 26 patents



US005157218A

United States Patent [19]
Kunimoto et al.

[11] Patent Number: 5,157,218
[45] Date of Patent: Oct. 20, 1992

[54] MUSICAL TONE SIGNAL FORMING
APPARATUS

[75] Inventors: Toshifumi Kunimoto; Akira
Yamauchi, both of Hamamatsu,
Japan

[73] Assignee: Yamaha Corporation, Hamamatsu,
Japan

[21] Appl. No.: 557,963

[22] Filed: Jul. 26, 1990

[30] Foreign Application Priority Data

Jul. 27, 1989 [JP] Japan 1-192708
Jul. 27, 1989 [JP] Japan 1-194544

[51] Int. Cl.⁵ G10H 1/14; G10H 5/02

[52] U.S. Cl. 84/659; 84/661

[58] Field of Search 84/659-661,
84/670

[56] References Cited

U.S. PATENT DOCUMENTS

4,475,229 10/1984 Frese 381/63
4,736,663 4/1988 Wawrzynek et al. 84/DIG. 9
4,882,965 11/1989 McClish 84/453
4,984,276 1/1991 Smith 84/630 X

FOREIGN PATENT DOCUMENTS

0248527 4/1987 European Pat. Off. .
63-40199 2/1988 Japan .

Primary Examiner—William M. Shoop, Jr.

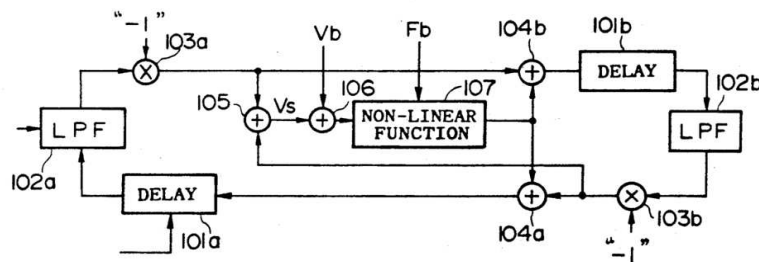
Assistant Examiner—Brian Sircus

Attorney, Agent, or Firm—Graham & James

[57] ABSTRACT

An electronic musical instrument provides a musical tone signal forming apparatus in order to sound a desirable musical tone. This apparatus includes a closed-loop wherein a signal is repeatedly circulating while being delayed by a delay circuit. In addition, the signal circulating the closed-loop is subject to the non-linear conversion. Thus, the signal picked up from the closed-loop can be controlled in response to the desirable non-linear characteristic. Preferably, the signal circulating the closed-loop is a musical tone waveform signal. For example, the musical tone waveform signal is varied in response to the feature of string, string bowing pressure and the like. Further, hysteresis characteristic simulating the static and dynamic frictions to be occurred between the string and bow of the string bowing instrument can be imported to the non-linear characteristic.

9 Claims, 4 Drawing Sheets



Typical Yamaha patent

United States Patent [19]
Smith, III



US005212334A

[11] **Patent Number:** 5,212,334
 [45] **Date of Patent:** May 18, 1993

- [54] **DIGITAL SIGNAL PROCESSING USING CLOSED WAVEGUIDE NETWORKS**
 [75] **Inventor:** Julius O. Smith, III, Palo Alto, Calif.
 [73] **Assignee:** Yamaha Corporation, Hamamatsu, Japan
 [21] **Appl. No.:** 568,609
 [22] **Filed:** Aug. 16, 1990

Related U.S. Application Data

- [60] Division of Ser. No. 414,646, Sep. 27, 1989, Pat. No. 4,984,276, which is a continuation of Ser. No. 275,620, Nov. 14, 1988, abandoned, which is a continuation of Ser. No. 920,701, Oct. 17, 1986, abandoned, which is a continuation-in-part of Ser. No. 859,868, May 2, 1986, abandoned.
 [51] **Int. Cl.:** G10H 1/02; G10H 1/12; G10H 1/46
 [52] **U.S. Cl.:** 84/622; 84/629; 84/633; 84/DIG. 9; 84/DIG. 10
 [58] **Field of Search:** 84/622-625, 84/629, 630, 633, 648, 661-665, 675-677, 699, 700, 707, 736-741, DIG. 9, DIG. 10, DIG. 11, DIG. 26

References Cited

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3,347,973	10/1967	Freeman	84/675 X
3,838,202	9/1974	Nakada	
4,130,043	12/1978	Niimi	
4,475,229	10/1984	Frese	
4,508,000	4/1985	Suzuki	84/675 X
4,548,119	10/1985	Wachi et al.	84/DIG. 9
4,554,858	11/1985	Wachi et al.	84/DIG. 9
4,622,877	11/1986	Strong	
4,633,500	12/1986	Yamada et al.	381/51
4,649,783	3/1987	Strong et al.	

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58-48109	10/1983	Japan
58-56678	12/1983	Japan
59-7396	2/1984	Japan
59-19353	5/1984	Japan
59-19354	5/1984	Japan

OTHER PUBLICATIONS

"Piano Tone Synthesis by Computer Simulation-Digital Filter Method" by Isao Nakamura, Junichiro Yamaguchi, Apr. 1977.
 "Extended Application of Digital Filter Method to Plural Strings" by Isao Nakamura, Hironobu Takagi, Oct. 1981.
 "Elimination of Limit Cycles and Overflow Oscillations in Time-Varying Lattice and Ladder Digital Filters", by Julius O. Smith, CCRMA, Dept. of Music, Stanford University.
 "Waveguide Digital Filters", by Julius O. Smith, CCRMA, Dept. of Music, Stanford University.
 "New Approach to Digital Reverberation using Closed Waveguide Networks", by Julius O. Smith, CCRMA, Dept. of Music, Stanford University.
 "Functional Model of a Simplified Clarinet", by Stephen E. Stewart, et al., Department of Physics and Astronomy, Brigham Young University, accepted for publication Apr. 5, 1980, pp. 109-120.
 "Self-Sustained Oscillations of the Clarinet: An Integral Equation Approach" by R. T. Schumacher, Dept. of Physics, Carnegie-Mellon University, pp. 298-309.

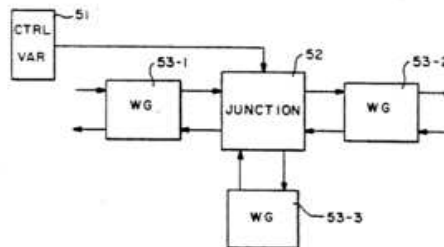
(List continued on next page.)

Primary Examiner—Stanley J. Witkowski
Attorney, Agent, or Firm—Graham & James

[57] **ABSTRACT**

A tone generation system includes one or more digital waveguide networks coupled to one or more junctions, one of which receives a control signal for controlling tone generation. The control signal initiates and interacts with a wave signal propagating through the waveguide networks to form a tone signal. A non-linear junction may be employed which receives a signal from a waveguide, converts it in accordance with a non-linear function based upon the value of the control signal and provides it back to the waveguide. A tone signal whose pitch is determined by the wave transmission characteristics of the waveguide network is thereby produced.

61 Claims, 7 Drawing Sheets



Smith again, different assignee

Things to notice

- Different abstract, same figure
- Appendix with SAIL code
- Similar but different contents (reeds, compressed table)

Summary of physical modeling of strings:

- Yamaha doesn't publish
- Julius Smith's '276 patent is the “root”

Summary:

Musical instruments = art + craft +
engineering + physics

(a *strange* brew)

DIY patent research

- <http://www.google.com/patents>
- <http://www.uspto.gov/patft/index.html>
- <http://gb.espacenet.com/>
- <http://www.wikipatents.com/>

The End.